

# **An FDA Perspective on the Implementation of State-of-the-Art Analytical Methods for the Development of Therapeutic Proteins**

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**Office of Biotechnology Products/FDA**

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**Technical Innovations to Support Development and Global Regulatory  
Approval for Biological Products and Vaccines**

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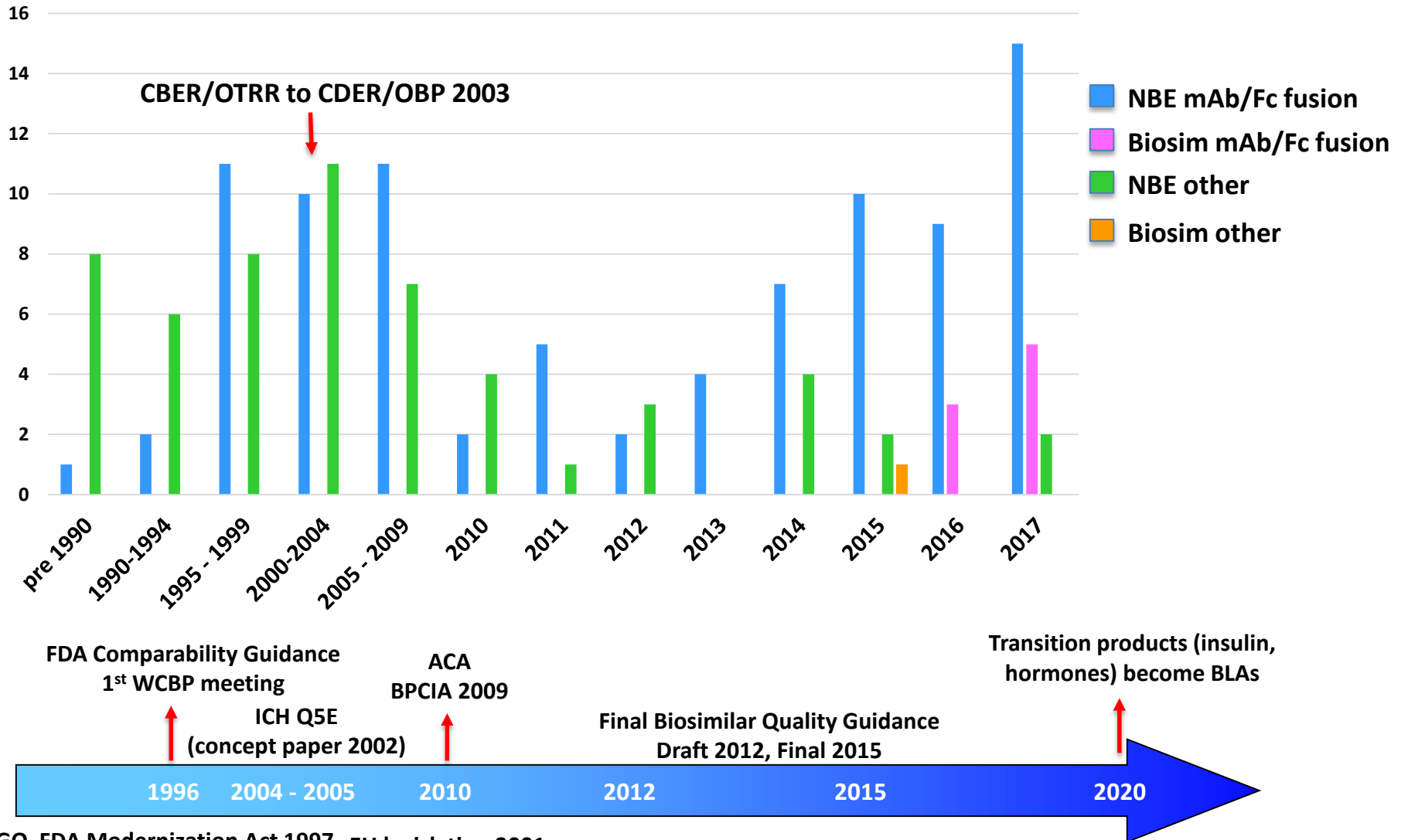
# Disclaimer

- The views and opinions expressed in this presentation belong to me and do not represent official FDA policy.

# Outline

- BLA approvals of OBP regulated biological products
- Evolution of analytical tool box
  - Mass Spec
  - CE
- State-of-the-Art analytical methods through the product lifecycle
  - Expectations
  - Multi-Attribute Methods
- Take home messages

# OBP Regulated BLAs (351a and 351k)



REGO, FDA Modernization Act 1997  
 PLA/ELA to BLA

EU legislation 2001  
 Biosimilar framework in EU 2005

# 1990s Analytical Tool Box

## 1° Sequence/PTMs

- AA analysis
- N- and C-term Sequence
- Peptide Mapping and Sequencing
- LC-MS/MS (1 sponsor)
- MALDI-TOF (BLA)
- ESI-MS (BLA)

## HOS

- CD (1 sponsor)
- DSC (BLA)

## Size/ Purity

- SEC-HPLC
- SDS-PAGE R + NR
- Coomassie Blue and Silver Stain
- Immunoblotting
- CGE (BLA)

## Activity

- In vitro/ in vivo Bioassays
- Binding ELISAs
- Flow cytometry
- Strength (UV A280)
- BCA (1 DS)

## Glycan Analysis

- Monosaccharide analysis
- CE with fluorescence detection (BLA)

## Charge/Identity

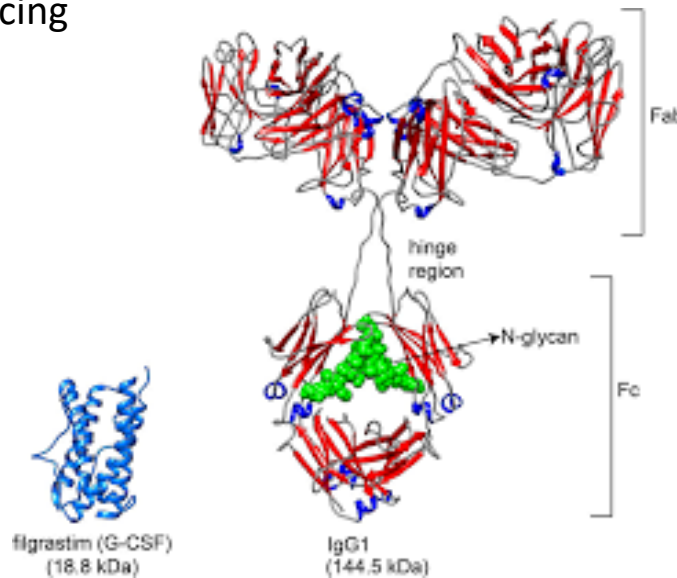
- IEF
- IEX
- cIEF

## Process Related Impurities

- Largely focused on bovine proteins
- BSA, transferrin, IgG

## Safety

- Bioburden
- Sterility
- Rabbit Pyrogens
- Endotoxin
- General Safety



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# 2000s Analytical Tool Box

Changing from murine to  
CHO cell substrates



## 1° Sequence/PTMs

- AA analysis
- N- and C-term Sequence
- Peptide Mapping and Sequencing
  - LC-MS/MS
- MALDI-TOF
- ESI- MS
- QTOF
- Ion trap

## HOS

- CD
- Fluorescence spec

## Size/ Purity

- SEC-HPLC
- SDS-PAGE R + NR
  - Coomassie Blue and Silver Stain
- Immunoblotting
- CE-SDS/CGE

## Activity

- In vitro Bioassays
- Ag/Receptor Binding assays
- Flow cytometry
- SPR
- Strength (UV A280)

## Glycan Analysis

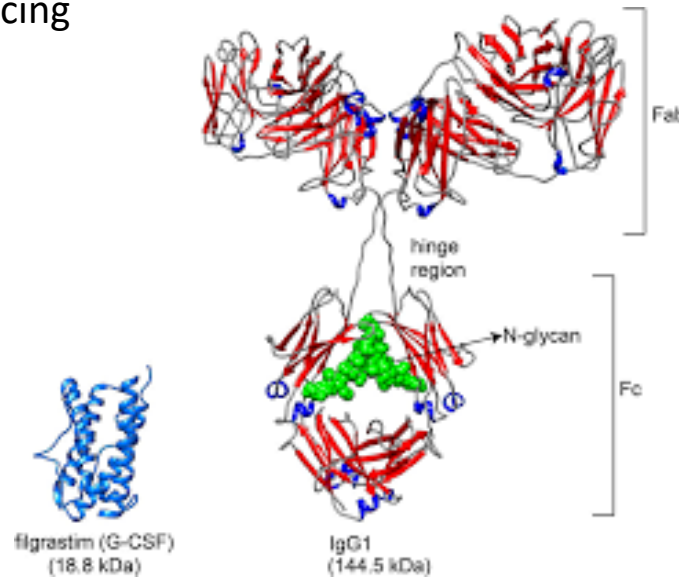
- Monosaccharide analysis
- 2-AB Labeled, PNGaseF released
- NP-HPLC
- CE-LIF

## Charge

- IEF
- IEX- HPLC
- CEX
- cIEF

## Process Related Impurities

- DNA, HCP, Protein A, etc.



Japelj et al Sci Reports 2016

# The Current Analytical Tool Box

## 1° Sequence/PTMs

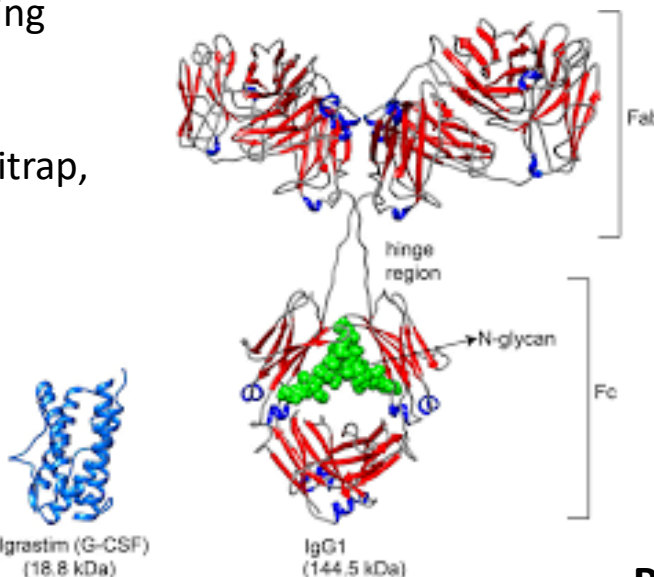
AA analysis  
 N- and C-term Sequence  
 Peptide Mapping and Sequencing  
 LC-MS/MS  
 Free sulfhydryls  
 MALDI-TOF, ESI-QTOF-MS, orbitrap,  
 etc....

## HOS

Near- and Far-UV CD  
 FTIR  
 DSC  
 HDX-MS  
 X-ray  
 NMR

## Size/ Purity

SEC-HPLC  
 HIC-HPLC  
 RP-HPLC  
 CE-SDS  
 CGE  
 AUC  
 A4F



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## Activity

In vitro Bioassays  
 Reporter gene assays  
 Ag/Receptor Binding assays  
 (mAbs – FcR, C1q)  
 SPR  
 Strength (UV A280)

## Glycan Analysis

ESI- MS  
 MALDI-TOF MS  
 Labeled, PNGaseF released  
 HPAEC-PAD  
 HPLC-FD  
 HILIC (HPLC, UHPLC)  
 CE-LIF (MS)

## Charge

cIEF  
 icIEF  
 ICE  
 IEX- HPLC  
 CZE

## Process Related Impurities

DNA, HCP, Protein A, etc.

## Safety

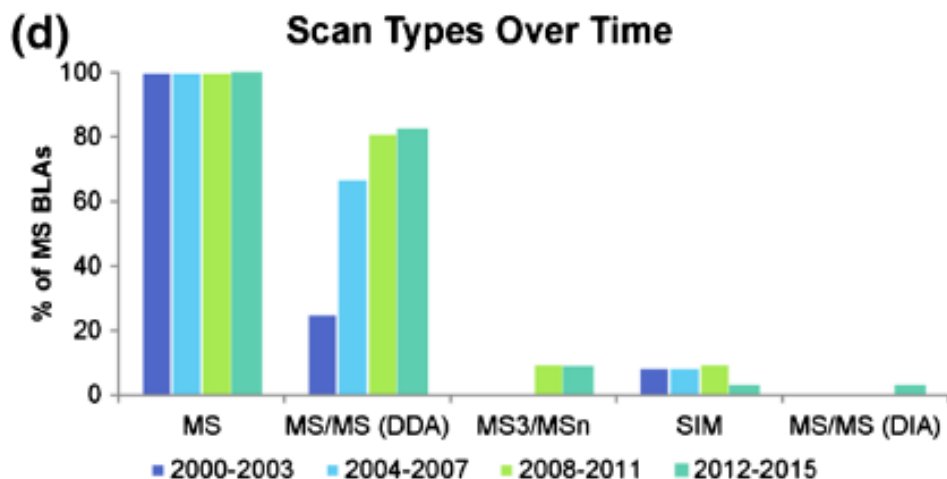
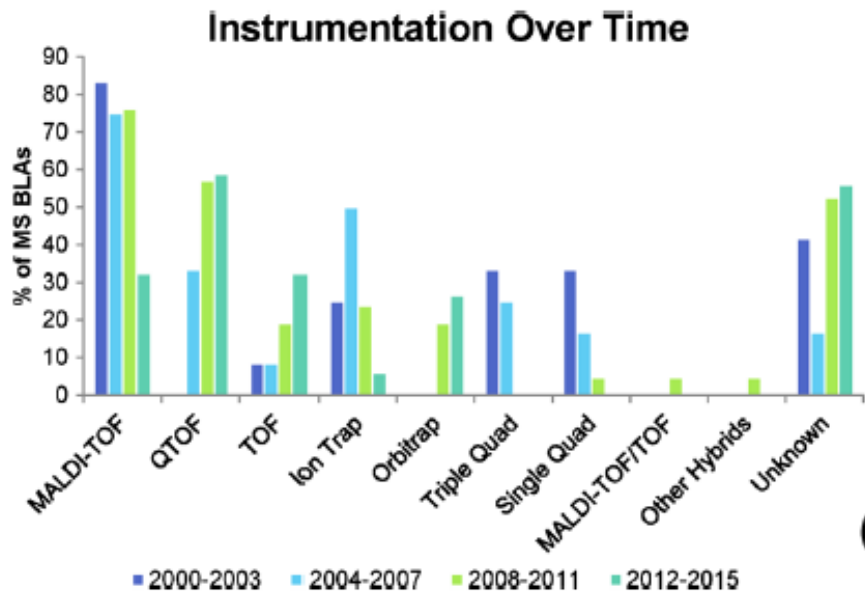
Bioburden  
 Sterility  
 Endotoxin  
 LAL  
 KT

# A Retrospective Evaluation of the Use of Mass Spectrometry in FDA Biologics License Applications

- 79/80 electronic submission BLA between 2000 and 2015 used MS for characterization
  - mAbs, ADCs, fusion-proteins, other proteins
- 32 specific attributes were analyzed
- Trends were noted for MS work flows, methods, instrumentation, and attributes analyzed over time
- “...we expect that we will see additional MS methodology within the quality control and comparability sections.”

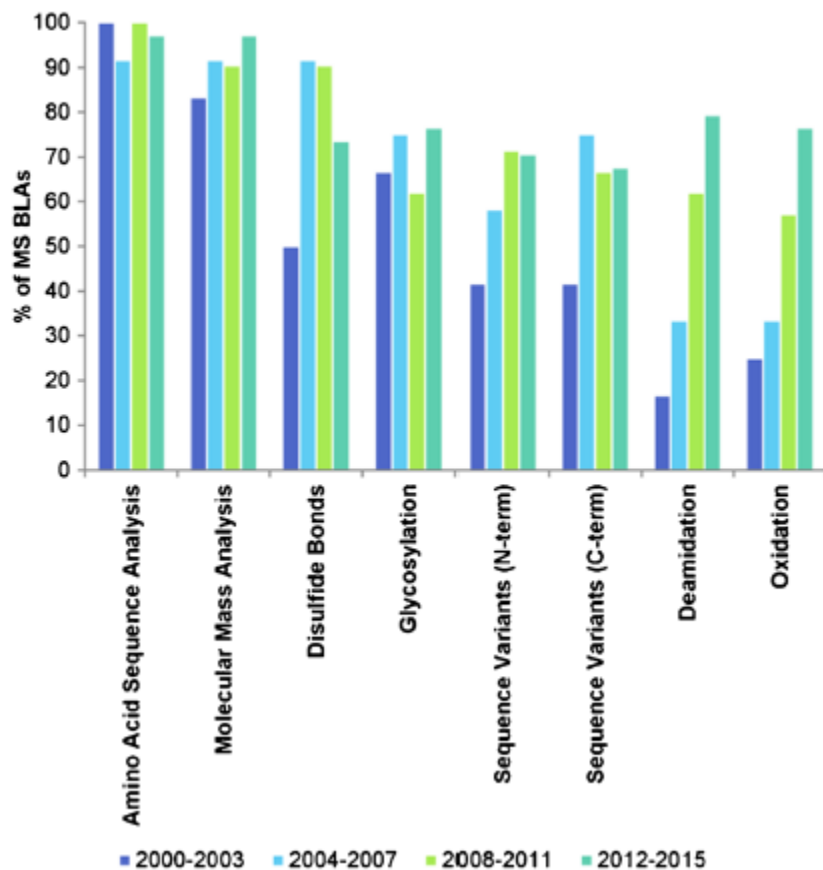


# Introduction of MS Instruments and Scan Types Over Time

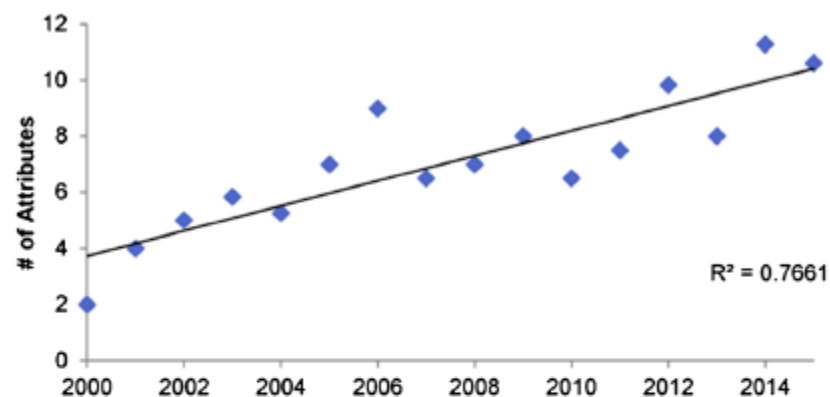


# Major MS Attributes for Analysis

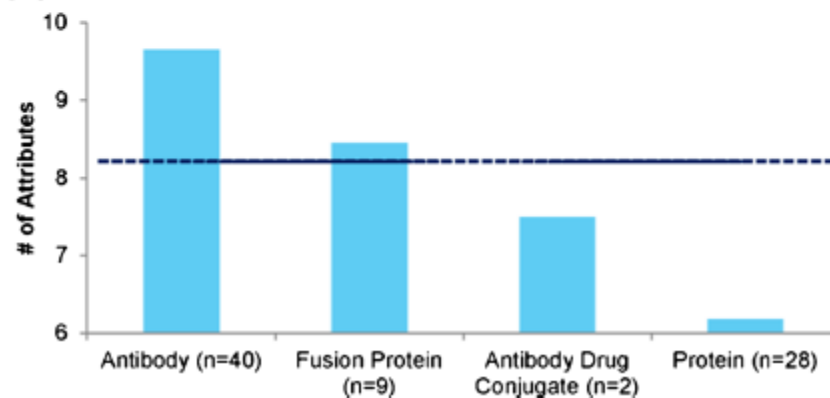
(a) Top MS Attributes Over Time



(b) Mean MS Attributes per BLA Over Time



(c) MS Attributes per BLA



# It Takes Time for New Methods to be Used Routinely for QC

- Although we saw some CE based methods for release/stability in the late 1990s, they became “routine” in the past 5-10 years
- CE method(s) are included in the specs for:
  - 35% of products through 2009
  - 44% of products through October 2014
    - 58% of products approved in the 5 years prior to the 2014 meeting
  - 52% of products up to September 2016
    - 90% of products approved in the 2 years since the 2014 meeting

# Current Use of CE, MS and UPLC Methods

## BLAs Approved 2016 – 2017 (28)

- 27/28 (96.4%) use one or more CE methods for characterization and release
- 28/28 (100%) use one or more MS methods for characterization
- 1/28 (3.6%) use MS for release
  
- Also seeing UPLC methods in INDs, BLAs and supplements (RP, SE, HILIC).
  - HILIC-UPLC (or other glycan methods with improved resolution) will become important for release of mAbs with effector function

# State-of-the-Art Analytical Methods Throughout the Product Lifecycle



## R&D Pre-clinical

- High throughput methods, NGS, MAM, metabolomics, PCA for
- Candidate Selection
  - Cell line development
  - Process Development



## Phase 1 Phase 2 Phase 3

- Regulatory expectations
- Characterization (SotA)
  - Robust methods for release and stability
  - Update methods and panel of methods as appropriate for release, stability, characterization and comparability



## Comparability Analytical Method Lifecycle

- Regulatory expectations
- Characterization (SotA)
  - Robust methods for release and stability
  - Update methods and panel of methods as appropriate
  - **OK if updated methods find new things that were always there, resulting in a change in specs**

**Don't overlook... Potential power of MS for proteins in bioanalytical assays as a way to understand product degradants and CQAs.**

# Quality Considerations in Demonstrating Biosimilarity of a Therapeutic Protein Product to a Reference Product

- Sponsors should use appropriate analytical methods that have adequate sensitivity and specificity to detect differences between the proposed product and the reference product. The use of widely available methods is preferred.
- A meaningful comparison of the proposed product to the reference product is possible only if the analytical methods used are similar to those used for the reference product. The process, for the proposed product, for the protein (e.g., amino acid sequence, post-translational modifications), degree of purity, glycosylation profiles, and degradation products, should be described by the sponsor. The methods used in these analyses, including their limitations, should be described by the sponsor.
- Current analytical technology is capable of evaluating the three-dimensional structure of many proteins. Using multiple, relevant, **state-of-the-art methods** can help define tertiary protein structure and, to varying extents, quaternary structure and can add to the body of information supporting biosimilarity.

If we expect biosimilar Sponsors to do this, should we have the same expectations for all Sponsors?

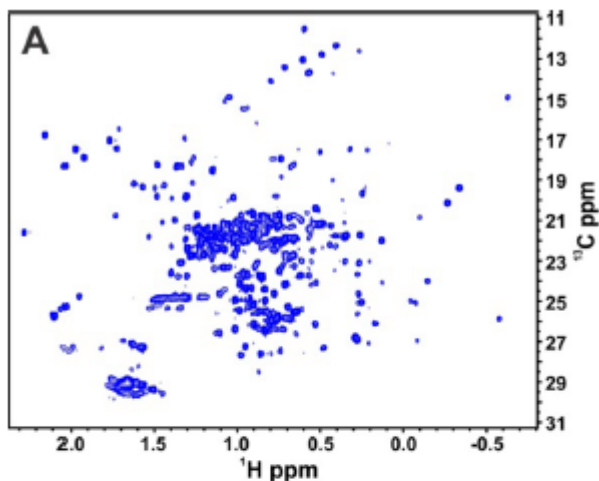


# 2D NMR of NIST mAb

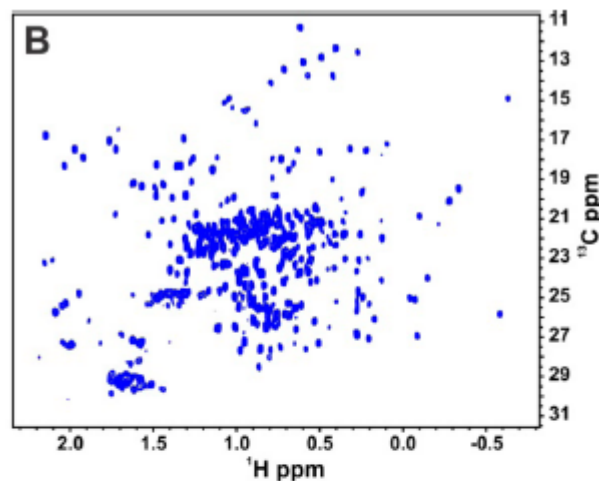
Could be used for comparability – but is it value added?



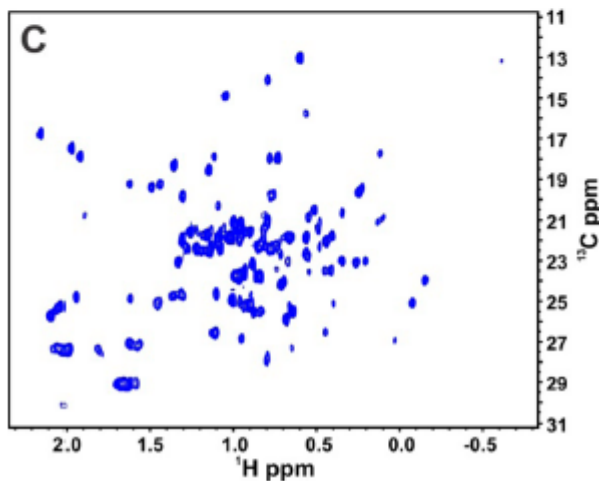
Intact  
mAb



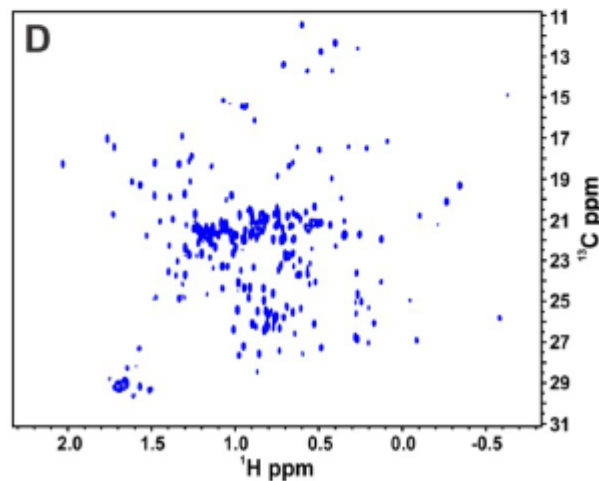
Fab + Fc



Fc  
fragment



Fab  
Fragment





# It Depends.....

## Methods seen more often in biosimilar packages

Mostly HOS methods

- HDX-MS
- NMR (1D and 2D)
- X-ray crystallography

Multiple MOA methods

- Some MOAs may not have been known or understood at the time the reference product was licensed, or good methods were not available.

## Many methods are now standard across sponsors

- Capillary based methods (size and charge)
- Multiple MS methods for sequencing, PTM identification/quantitation, glycan analysis
- Glycan profiling
- Other HOS methods (CD, FTIR, DSC)
- Size methods (SEC, AUC, SEC-MALLS)
- SVP analysis (HIAC, MFI, Archimedes)
- Methods that assess biological function
  - Bioassays
  - Immunochemical/biochemical assays
  - Binding assays

# State of the Art Methods

- Used first as characterization methods
  - Are not validated, but fit for purpose
  - May not be readily transferable and may require specialists
  - As seen for capillary based methods, it took a while for routine use in QC labs
- MS methods may not be practical for QC
  - New methods and instruments introduced often
  - Need an instrument and software that vendor will support for many years
- Which HOS methods are best suited for comparability and/or analytical similarity of mAbs?
  - Can you tell one IgG1 apart from another?
- But could be invaluable for understanding the process and product during development (including formulation studies)
  - Fit for purpose

# Mass Spec Based Multi-Attribute Methods

- Mass Spec played an important role in thinking of therapeutic proteins as “well characterized”.
- MS can be coupled with separation technologies.
  - MS can identify and quantify specific PTMs and sequence variants and when coupled with separation techniques, can tell you which peak contains the variant.

But...

- Can MS replace QC methods such as CE, IEX, SEC, RP-HPLC and HIC-HPLC, which tell you about quality attributes of the population, but not at a molecular level?
- Can MS be used to move release testing to in-process testing?

# Considerations/Concerns

- Some sample preparation steps can alter specific QAs.
- Bottom up approaches may not be/are not sufficient.
- Are you analyzing the correct attributes?
- You've identified and quantified specific PTMs and sequence variants, but do you know if they are evenly distributed across molecules or only on 10% of the population?

# Considerations/Concerns


- If the PTM has the potential to affect potency or activity, does knowing the overall level tell you what you need to know?
  - For example, if CDRs of a mAb may be prone to 2 PTMs, is one PTM sufficient to reduce potency or would both PTMs be needed, on one or both halves of the molecule ?
  - May not be able to tell you if there was an overall shift in the PI of the product, which could affect PK of sc administration
  - However, may be better for setting a spec around a specific PTM with a known impact, rather than setting a spec on an acidic or basic peak.
- If you want to use MS for in-process testing instead of release testing, are you using it in the correct place during manufacture?
  - Can the attributes you are assessing be affected by steps downstream of where you are testing?
- Have you performed an adequate risk assessment of the testing strategy on potency, PK, safety and immunogenicity?
  - Does the MAM give you the information you/we need in order to make appropriate decisions?

# Take Home Messages

- Be innovative and push the envelope, but...
- Don't oversell!
- Your new analytics/advanced technologies may be the greatest invention since sliced bread, but we need to come to the same conclusion (and we might not!)
- Put yourself in our shoes – what would be our concerns?
- Back up your claims with the right kind of data!
- Know your protein!



# Acknowledgements

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- Jun Park – formerly of OBP 